

Attorney Docket No.: M0925/7087 TJO

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No.	M0925/7087
	First Named Inventor or Application Identifier	
	Anne M. Mayes et al.	
	Express Mail Label No.	EL565161907US
Date of Deposit		May 22, 2001

**APPLICATION ELEMENTS**  
See MPEP chapter 600 concerning utility patent application contents

- ☒ Fee Transmittal Form  
*(Submit an original, and a duplicate for fee processing)*
- ☐ Applicant claims small entity status.  
See 37 CFR 1.27.  
☐ Statement filed in prior application,  
status still proper and desired.
- ☒ Specification 52 Total pages  
43 pages description  
1 pages abstract  
8 pages claims 60 Total claims
- ☒ Drawing(s) (35 USC 113) 19 Total sheets  
☐ Informal ☒ Formal 20 Total drawings
- ☐ Oath or Declaration - Total pages  
a. ☐ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 18 completed)*  
*[Note Box 6 below]*  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Incorporation by Reference  
*(usable if Box 5b is checked)*  
The entire disclosure of the prior application,  
from which a copy of the oath or declaration is  
supplied under Box 5b, is considered as being  
part of the disclosure of the accompanying  
application and is hereby incorporated by  
reference therein. See 19.
- ☐ Application Data Sheet, See 37 CFR 1.76

**ADDRESS** Box Patent Application  
TO: Commissioner for Patents  
Washington, DC 20231

- ☐ CD-ROM or CD-R, in duplicate, large table  
or Computer Program (Appendix)
- ☐ Nucleotide and/or Amino Acid Sequence  
Submission (if applicable, all necessary)
  - ☐ Computer Readable Form (CRF)
  - ☐ Specification Sequence Listing on:
    - ☐ CD-ROM or CD-R (2 copies); or
    - ☐ paper (identical to computer copy)
  - ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

- ☐ Assignment Papers/cover sheet &  
documents(s)
- ☐ 37 CFR 3.73(b) Statement  
*(when there is an assignee)*  
☐ Power of Attorney
- ☐ English Translation of Document *(if applicable)*
- ☐ Information Disclosure Statement/PTO-1449  
☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
- ☐ Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
- ☐ Request and Certification Under 35 U.S.C.  
§122(b)(2)(B)(ii)
- ☒ Other: This invention was made with  
government support under Contract Number DEFC07-  
94ID13223 awarded by the U.S. Department of Energy  
and Grant Number NIH-5P30-ES02109 awarded by the  
National Institutes of Health. The government has  
certain rights in the invention.

19. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in the body of the application, or a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: 09/284,447, filed on June 24, 1999, entitled **POLYMER ELECTROLYTE, INTERCALATION COMPOUNDS AND ELECTRODES FOR BATTERIES** which is a national stage of and claims priority to International Application No. PCT/US97/18839, filed October 10, 1997, which claims priority to U.S. Provisional Application No. 60/028,342, filed October 11, 1996; U.S. Provisional Application No. 60/028,341, filed October 11, 1996; U.S. Provisional Application No. 60/053,876, filed July 28, 1997.

☐ Cancel in this application claims \_\_\_\_\_ before calculating the filing fee.

☐ Amend the specification by inserting before the first line of the specification on page 1, after the title, the following:

--This application is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_, filed on \_\_\_\_\_, entitled \_\_\_\_\_ and now \_\_\_\_\_--

Prior application information: Examiner L. Weiner Group Art Unit: 1745

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 20. CORRESPONDENCE ADDRESS

Correspondence address below

CUSTOMER NUMBER:



23628

ATTORNEY'S NAME	Timothy J. Oyer, Reg. No. 36,628				
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## 21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Timothy J. Oyer, Reg. No. 36,628
SIGNATURE	
DATE	May 22, 2001

Attorney Docket No.:M0925/7087 TJO

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Inventor or Identifier: Anne M. Mayes et al.

Serial No: Not yet assigned

Filed: Herewith

CHECK BOX, if applicable:

For: POLYMER ELECTROLYTE, INTERCALATION  
COMPOUNDS AND ELECTRODES FOR BATTERIES

☐ DUPLICATE

Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	60-20=	40 x	\$18	= \$ 720.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	6-3=	3 x	\$80	= \$ 240.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$260	= \$
				BASIC FEE (37 CFR 1.16(a))	\$ 710.00
				Total of above Calculations =	\$ 1,670.00
				Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).	\$
				Assignment Recordation Fee (if any)	\$
				Other Fees (if any).	\$
				TOTAL =	\$ 1,670.00

1. A check in the amount of \$1,670.00 is enclosed.

General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ 1.16 or 1.17, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ 1.16 or 1.17 or 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR § 1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

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Attorneys of Record

Docket No. M0925/7087  
Date: 05/22/01